State of California Office of Energy Infrastructure Safety PROPOSED REGULATORY TEXT

August 2022

Written Hearing Process

Adopt (make permanent the emergency regulation with amendments) section 29104 to Article 2, Chapter 1, Division 17 of Title 14 of the California Code of Regulations, to read as follows:

(Note: The proposed amendments to the 45-day text are shown in <u>bold double underline</u> to indicate additions and bold double strikethrough to indicate deletions from the emergency regulation text. The amendments to the emergency regulation are shown in <u>double underline</u> to indicate additions and double strikethrough to indicate deletions.)

Title 14. Natural Resources

Division 17. Office of Energy Infrastructure Safety

Chapter 1. Rules of Practice and Procedure

Article 2. Proceedings

§ 29104. Written Appeal Hearing Process

- (a) "Office" means the Office of Energy Infrastructure Safety.
- (b) Any written submission or request pursuant to this section may be made via the following methods:

(1) U.S. mail to the Office's headquarters at: 715 P Street, 20th Floor Sacramento, California 95814

(2) The Energy Safety E-Filing System pursuant to section 29100 of this chapter. Instructions for use of the Energy Safety E-Filing system can be found in the Energy Safety E-filing System User Guide (February<u>-April</u> 2022) which is located on the Energy Safety E-Filing System website and incorporated herein by reference. By electronic mail to the address, hearings@energysafety.ca.gov.

- (c) Any entity issued a notice of defect or notice of violation pursuant to Government Code section 15475.4(a) may request a written hearing to take public comment or present additional information regarding the alleged deficiency, violation, or failure to act contained in the notice of violation or defect. The request must be received within 30 calendar days of issuance of the notice. Requests must be submitted in accordance with subdivision (b).
- (d) When a hearing is requested, the Office will post the hearing request, notice of defect or notice of violation, and all other information relating to the hearing on the Office's E-Filing System the Office's website. For the next 30 calendar days, the Office will receive (1) comments from the public and (2) information from the entity requesting the hearing. The Office will only accept information presented in writing and transmitted in accordance with subdivision (b).
- (e) When a hearing is requested, the Office will identify a neutral hearing examiner. The hearing examiner will be selected by the Office of Administrative Hearings, or in the event there is no active contract with the Office of Administrative Hearings, the Office will select a neutral employee. The hearing examiner will consider information received by the Office pursuant to subdivision (d) related to the notice and will issue a proposed determination. The proposed determination will make a finding regarding whether the information submitted by the Office, the public and the entity supports the alleged deficiency, violation, or failure to act alleged by the Office.
- (f) The Office will post the proposed determination on the Office's E-Filing System website, and will email the proposed determination to the entity that requested the hearing and to persons who submitted comments pursuant to subdivisions (d). Energy Safety and will then receive written comments submitted in accordance with subdivision (b) on the proposed determination from the public and the entity requesting the hearing for 15 calendar days.
- (g)The director of the Office will consider the written comments on the proposed
determination received pursuant to subdivision (f) and will adopt, revise, or reject the
proposed determination. The Office will email the director's determination to the entity
that requested the hearing and to persons who submitted comments pursuant to
subdivisions (d) and (f), and will be posted the determination on the Office's E-Filing

System website within 15 calendar days of the close of the comment period in subdivision (f).

Note: Authority cited: Sections 15473, 15475, and 15475.4, Government Code. Reference: Section 15475.4, Government Code.



ENERGY SAFETY E-FILING SYSTEM

USER'S GUIDE

Office of Energy Infrastructure Safety

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1-About the E-filing System

The primary purpose of the Office of Energy Infrastructure Safety (Energy Safety) is to ensure electrical utilities are taking effective actions to reduce utility-related wildfire risk. The office operates under several guiding principles, one of which is delivering near-term results while promoting a long-term utility wildfire vision.

As part of operations of Energy Safety, the e-filing system will be used by various Utility agencies, Internal staff, and the public to electronically file documents by means of dockets. The dockets will be grouped under various cases that will be setup by Energy Safety.

The e-filing system seeks to achieve the following goals:

- 1. Improve the organization and management of Energy Safety documents
- 2.—Accelerate processing of filings
- 3. Eliminate or reduce the requirement for hard copy document submission
- 4.—Enable electronic filing and tracking
- 5.—Reduce costs for everyone involved

1.1-About this Document

This document is intended for users who will be making e-filings.

The manual is designed to be used as a training guide and reference tool and is organized to explain what functionality is available for each user to access and use throughout the process. Each section is designed to be self-contained so that if you need to look up how to complete a specific task, you can quickly consult the corresponding section and find the information needed.

The system requires users to interact with the system based on specific roles:

- Intervenor: Intervenors are public who wish to intervene in in specific cases. In order to
 perform e-filings, intervenors will have to register themselves in the system.
- Utility: Utilities will also be registered users of the e-filing system and will be able to submit documents for their utility.
- Office of Energy Infrastructure Safety: The Office of Energy Infrastructure safety staff
 will manage dockets and documents in the e-filing system, including reviewing,
 validating, and accepting or rejecting the e-filed documents.

2-Case and Docket Navigation

Anonymous and authenticated users can navigate the various cases and their dockets from the Dashboard page. The dashboard page can be reached from the following link in the main navigation. It is also linked in the top navigation bar of the Energy Safety website.

OFFICE OF ENERGY INFRACTEUCTURE SAFETY		Dashboard Su	bmit e-filing	Submit Confidential e-filing	Login
List of Cases					
Enter a case name or county	Search				
Name		Status	County		
Executive Compensation		Open	Not Provi	ded	_
Rulemaking		Open	Not Provi	ided	
Safety Certifications		Open	Not Provi	ided	
Safety Culture Assessments (SCAs)		Open	Not Provi	ded	

On the Dashboard page, the list of all Cases is displayed. The user can also use the Search box to search for a specific Case. When the user selects a particular Case, the list of all Dockets under that Case is displayed.

OFFICE OF ENERGY INFRASTRUCTURE SAFETY	Dash	board Submit e-filing	Submit Confidential e-filing	Login
Cases → Wildfire Mitiga	ation Plans (WMPs)			
Wildfire I	Mitidation I	Plans (W	MPS)	
			,	
Status: Open Cou	Inty: Not Provided		,	
Status: Open Cou	Inty: Not Provided		•	
Status: Open Cou	inty: Not Provided		,	
Status: Open Cou List of Doc Docket #	Inty: Not Provided		Status	
Status: Open Cou List of Doc Docket # 2021-WMPs	Inty: Not Provided CKets Name 2021 Wildfire Mitigation F	Plans	Status Open for e-filings	
Status: Open Cou List of Doc Docket # 2021-WMPs 2022-WMPs	Inty: Not Provided CKets Name 2021 Wildfire Mitigation F 2022 Wildfire Mitigation F	Plans Plans (WMPs)	Status Open for e-filings Open for e-filings	

Selecting a docket from this page, will take the user to the Docket page where details about that Docket is displayed. A list of actions that can be performed on the Docket is displayed on the right navigation of this page.

OFFICE OF ENERGY INFRASTRUCTURE SAFETY	Dashboard S	Submit e-filing	Submit Confidential e-filing	Login
cases \rightarrow Wildfire Mitigation Plans (WMPs) \rightarrow 2021-WMPs				
Docket #2021-WMPs Open for e-filings		View/ Docke Subm	Search Documents in this et it e-Filing	
Docket Title 2021 Wildfire Mitigation Plans		Subm	it Confidential e-Filing Log	

The list of actions that can be performed on the docket are as listed below

2.1-View/Search Documents in the Docket

From the Docket Detail page, when you click on the "View/Search Documents" link on a specific docket's page, you will see the following page:

Safety Certifications > 2021-SCs > Search Documents		
arch Documents		
Search Documents - Enter a REF # or document title Search		
RESULTS	From Date:	
	mm/dd/yyyy 🗖 🔾	2
App for Confidentiality	To Date:	
Document 3 - Example Phasellus vitae mattis erat, quis fringilla est. Proin molestie eros eros, et semper nulla sagittis ac.	mm/dd/yyyy 🗖 🔾	٤
Phasellus bibendum fringilla sollicitudin. Donec faucibus nibh nec risus maximus, id rutrum tortor cursus. Ut mollis molestie		
nvena. Aliquan moncus, erat quis uncluunt vanus, quan metus bianoit uma, et bianoit nsus sa	Date Range:	
Case: 2021-SCs Document Type: Notice Submission Type: Document Submitter Role: Utility Subjects Areas:	Any Date Any Date Any Date	
Lecurca minastructure Date. 6/29/2021 4.09:30 PM	 Past Month 	
	 Past 6 Months 	
Document 3 Example	 Past Year 	
Document 3 - Example Phasellus vitae mattis erat, quis fringilla est. Proin molestie eros eros, et semper nulla sagittis ac.	 Earlier 	
Phasellus bibendum fringilla sollicitudin. Donec faucibus nibh nec risus maximus, id rutrum tortor cursus. Ut mollis molestie	Descurrent Trues	
viverra. Aliquam rhoncus, erat quis tincidunt varius, quam metus blandit urna, et blandit risus sa	Any Document Type:	
Case: 2021-SCs Document Type: Submission Type: Document Submitter Role: Office of Energy Infrastructure	 Power Point 	
Safety Subjects Areas: Date: 6/29/2021 3:59:02 PM	 Word 	
	 Excel 	
	Adobe PDF	
	Submission Type:	
	Any Submission Type	
	 Document 	
	 Comment 	
	 Transcript 	
	Submitter Pole:	
	Any Submitter Role	
	 Office of Energy Infrastructure 	
	Safety	
	O Utility	
	Subject Areas:	
	Any Subject Area	

The default view will show a listing of all the documents that are published in that docket for all types of submitters.

The words in Bold Font on the right-hand side of the page are the filters. They can be used to narrow down the number of documents that are being listed in the search results.

For example, to list the documents that have been submitted by Utilities only, click on the "Utility" link under the "Submitter Role." The documents submitted by utilities will then be listed. Similarly, other filters can be used as shown in the screenshot above to further narrow your search.

Bries of INERGY INVESTIGATION Dashboard Your e-filings Submit e-filing Submit Confidential INVERY	e-filing Your Clients Your Profile Lo
es ⇒ Safety Certifications ⇒ 2021-SCs ⇒ Search Documents	
earch Documents	
Search Documents - Enter a REF # or document title Search	
2 RESULTS	From Date:
	mm/dd/yyyy 🗖 🔍
App for Confidentiality	To Date:
Document 3 - Example Phasellus vitae mattis erat, quis fringilla est. Proin molestie eros eros, et semper nulla sagittis ac.	mm/dd/yyyy 📋 🔍
Phasellus bibendum fringilla sollicitudin. Donec faucibus nibh nec risus maximus, id rutrum tortor cursus. Ut mollis molestie viverra. Aliquam rhoncus, erat quis tincidunt varius, quam metus blandit urna, et blandit risus sa	Date Range:
Case: 2021-SCs Document Type: Notice Submission Type: Document Submitter Role: Utility Subjects Areas:	 Any Date
Electrical Infrastructure Date: 6/29/2021 4:09:30 PM	O Past Week
	 Past Month
	 Past 6 Months
Document 3 Example	 Past Year
Document 3 - Example Phasellus vitae mattis erat, quis fringilla est. Proin molestie eros eros, et semper nulla sagittis ac.	 Earlier
Phasellus bibendum fringilla sollicitudin. Donec faucibus nibh nec risus maximus, id rutrum tortor cursus. Ut mollis molestie	Document Type:
viverra. Aliquam moncus, erat quis tincidunt varius, quam metus blandit urna, et blandit risus sa	 Any Document Type
Case: 2021-SCs Document Type: Submission Type: Document Submitter Role: Office of Energy Infrastructure	 Power Point
Safety Subjects Areas: Date: 6/29/2021 3:59:02 PM	O Word
	 Excel
	Adobe PDF
	Submission Type:
	Any Submission Type
	 Document
	 Comment
	 Transcript
	Submitter Role:
	Any Submitter Role
	 Office of Energy Infrastructure Safety
	Subject Areas:
	 Any Subject Area

2.2-Submit E-filing

Information on submitting an e-filing can be found in Section 4.1.

2.3-Submit Confidential E-filing

Information on submitting a confidential e-filing can be found in Section 4.2.

2.4-Docket Log

To view the list of all published documents for a particular docket, navigate to the specific docket and click on the "Docket Log" link.

A	OFFICE OF ENERGY INFRASTRUCTURE SAFETY			Dashboard	Submit e-filing	Submit Confidential e-filing	Login
Cas	es → Safety C	Culture Assessments (SC/	\s) → 2021-SCAs → Case Log				
D	ocket	Log					
D	ocket #: 2021-	SCAs Project: 2021 S	Safety Culture Assessments (SCAs) Generated On: 6/29/2021 5:39	9:21 PM			
	REF #	Docketed Date	Document Title		To Fro	n	_
	10185	6/22/2021	Another Test Document - This one is confidential		Syn	Soft Solutions, LLC	
			Electrical Infrastructure				
	10183	6/22/2021	Test Application for Confidentiality		Syn	Soft Solutions, LLC	
			Electrical Infrastructure				

3—Account Creation and Profile Maintenance

The e-filing system is a quick and easy way for the Utilities, Energy Safety staff, intervenors, and other parties to file necessary documentation for cases. The e-filing system requires document submitters to register before submitting documents. The system allows submitters to submit documents, submit confidential documents, look up active cases, view submission history, update the submitter profile, and recover forgotten passwords.

3.1-User Registration

As a submitter, the first time you log in, you will need to "Register as a New User". You can get to the Login screen when you click on the links "Submit e-filing"," Submit Confidential e-filing" or "Login" from the top navigation

OFFICE OF RANGEY INFRASTRUCTURE SAFETY		Dashboard	Submit e-filing	Submit Confidential e-filing	L
st of Cases					
Enter a case name or county	Search				
Name		Status	County		
Executive Compensation	(Open	Not Prov	ided	
Rulemaking	(Open	Not Prov	ided	
Safety Certifications	(Open	Not Prov	ided	
Safety Culture Assessments (SCAs)	(Open	Not Prov	ided	
Wildfire Mitigation Plans (WMPs)	(Open	Not Prov	ided	

From the Login screen you can get to the Registration screen by clicking the link as shown below.

Login		
Email		
Password	1	
	Sigi	n In
	Forgot your password?	Register New Account

Note that users can also click on the "Forgot Password" link to recover their passwords in case they have forgotten them.

You are required to complete a simple, one-time registration to create your e-filing account. Mandatory fields include name, email address, and password. You are asked to provide a security question and answer in case you forget your password.

After you agree to the Terms of the Use and click the "Agree & Create Account" button, the system will register you and send an automated email informing you that you are able to log into the e-filing application and submit documents.

Your Information	Address 2
Full Name *	City
Email Address *	State
Password * @ Password Requirements	Zip
Confirm Password *	Business Phone Number
Security Question * in case you forget your password	Business Fax Number
Security Answer *	Terms of Use: By submitting the documents or comments to the
Phone Number *	Office, I understand that I am agreeing to follow the business principles and guidelines established by the Office for use of this system. If any documents or comments do not conform with the business principles or guidelines, my documents or comments may be rejected by the Office. In downloading any documents from the Office's website, I understand that the Office is not ensuring or guaranteeing that the documents do not contain viruses or other residence that may inder with the agreent ensuring in a form.
Organization Information	computer. I understand that I must have my own software to read the downloaded documents as the Office will not supply such software to me.
Organization * 3 More Information	Agree & Create Account

Once your account is registered, you will receive an email saying that the account was created successfully. You will then be able to log into the system using your specified credentials.

3.2–User Profile Management

Once authenticated, users can access their Profile page from the "Your Profile" link from the top navigation. From here, you can update your profile, change your email address, or change your password.

Your Profile



When a user clicks on the "Edit Your Profile" button, a new window will appear where you update your account with additional user profile information and firm information.

After updating your account, the e-filing system will send a confirmation to your email address.

If you want to change your email address, you click on the "Change Your Email Address" button. On this page, you enter the new email address, and click the "Change Email Address" button.

If you want to change your password, click on the "Change Password" button, enter the new password, and click the "Change Your Password" button.

3.3-Client Profile Management

This page is used by e-filers who want to submit filings on behalf of other clients. Users can click on the "Your Clients" link in the top navigation to reach this page.

Your Clients				Add a New Client
Enter a client name, contact, ema Advanced Search	il, or address	Search		
Name	Address	Contact	Phone	
Pacific Gas and Energy	123 Arbusto Cir Sacramento, CA 95831	John Riley JohnRiley@gmail.com	921-222-3333	Edit

Clicking on the "Add Client" button will direct you to the "Add/Edit Client Information" page. Once you fill out the mandatory and optional information fields for the client click the "Save Client Profile" button.

Add/Edit Client Information

Fields denoted by an asterisk (*) are required.

No. of Particular Control of Cont	
Name	
Dusiness Address	
Business Address	
Address 2	
Address 2	
City	
State	
CA	
Zip	
Phone Number	
Fax Number	
Contact Information	
Contact Information Contact Name Email Address Phone Number	
Contact Information Contact Name Email Address Phone Number	

After you enter the mandatory fields and click the "Save Client Profile" button, the new client will appear in the "Your Clients" list.

To edit an existing client, users can click on the Edit button next to the client. You will be redirected to the "Add/Edit Client Information" page.

our Clients				Add a New C
Enter a client name, contact, em	ail, or address	Search		
Name	Address	Contact	Phone	
Pacific Gas and Energy	123 Arbusto Cir	John Riley John Riley@amail.com	921-222-3333	Edit

You add, delete, or change the existing information as needed and click on the "Update Client Profile" button. You can also Delete a client by clicking on the "Delete Client" button next to the page title.

Add/Edit Client Information Fields denoted by an asterisk (*) are required.	Delete Client
Client Information	
Name *	

The changes will be reflected in the "Your Clients" listing after deletion.

3.3.1-Searching for Clients

If you have a large number of clients, you can search your clients by entering the name, contact, email address, or address of the client in the Filter Text box.

For example, to search clients based on the phone number, enter the name field using the Advanced Filters and click on the search button. See the screenshot below.

You may filter by Client Name, Address, Contact or Phone number.

				Aud a New
Enter a client name, contact,	email, or address	Search		
Advanced Sea	arch			
Name:		Address:		
Contact:		Phone:		
Search with Filters			Clear	All Filters
Name	Address	Contact	Phone	
Pacific Gas and Energy	123 Arbusto Cir Sacramento, CA 95831	John Riley JohnRiley@gmail.com	921-222-3333	Edit

If you wish to remove the filters, click on the "Clear All Filters" button. The full list of clients will be displayed in the "Your Clients" list.

4—E-filing

The process of e-filing involves three steps:

- 1)—Selecting the docket and role in the docket;
- 2) Uploading one or more files and adding descriptive information (metadata) for each file; and
- 3)—Reviewing the document and accepting the terms and conditions for submission.

The user can navigate to the e-filing page by using the following link in the navigation

A	OPPICE OF ENERGY INFRASTRUCTURE SAFETY		Dashboard	mit e-filing Submit Confidential e-filing	Login
Li	st of Cases				
	Enter a case name or county	Search			
	Name	St	atus	County	
	Executive Compensation	Of	ben	Not Provided	
	Rulemaking	Op	ben	Not Provided	
	Safety Certifications	Of	ben	Not Provided	
	Safety Culture Assessments (SCAs)	Op	ben	Not Provided	
	Wildfire Mitigation Plans (WMPs)	O	ben	Not Provided	

You will be required to login with an account to proceed. The steps involved in account creation are covered in Section 3.1.

OFFICE OF ENERGY INFRASTRUCTURE SAFETY		Dashboard	Submit e-filing	Submit Confidential e-filing
Please log in to continue.				×
Login				
Email				
Passwor	1			
	Sign In			
	Forgot your password? Registe	r New Accou	nt	

After you login, you will be able to select a docket and your role in this proceeding.

Users can also access the e-filing page from an existing Docket page. Navigating to a specific Docket page has been covered in Section 2.

4.1-Steps for Submitting an E-filing

There are three steps for submitting a e-filing. The three steps are described in the following sub-sections

4.1.1-Select a Docket and Add Submitter Info

To select a docket, enter a few consecutive characters in the proceeding <u>docket</u> name and click on the Search button. The search request then lists proceeding <u>docket</u> that include the specified name. Alternatively, click on the View All Dockets link.

	Dashboard	Your e-filings	Submit e-filing	Submit Confidential e-filing	Your Clients	Your Profile	Logout
Cases ⇒ Submit an e-filing							
Submit an e-filing							
1 Select Docket & Submitter	2 Upload Fil	les		3 Review & S	Submit		
Step 1: Select a Docket & Add a Fields denoted by an asterisk (*) are required.	Submitter I	Info					
Enter a docket title or docket #		Sea	rch	3			
o View All Dockets							

From the list of return results, select your docket using the Select button.

Sele	ect a Dock	et:	filinga Cubmit a filing - Cubmit Cant	idantial o filina	Your Closels	×
	Show ex	pired, on hold, and susp	pended projects			*
Sear	rch by Cas	e Sea	arch by case name	٩		
Ca	se #	Docket Title	Case Title	Status		
202	21-EC	2021 Executive Compense	ation Executive Compensation	Open	Select	
202	21-RM	2021 Emergency Rulemak	king Rulemaking	Open	Select	
202	21-SCAs	2021 Safety Culture Assessments (SCAs)	Safety Culture Assessments (SCAs)	Open	Select	
202	21-SCs	2021 Safety Certifications	Safety Certifications	Open	Select	
202	21-WMPs	2021 Wildfire Mitigation Pl	ans Wildfire Mitigation Plans (WMPs)	Open	Select	
	_					-

Use the drop down menu to select Your Role in this case:

Select Docket & Submitter	2 Upload Files	3 Review & Submit
Step 1: Select a Docket &	Add Submitter Info	
Fields denoted by an asterisk (*) are requ	uired.	
Select a Docket *		
Enter a docket title or docket #	Search	
or View All Dockets		
Submitter (Update Submitter Info)		
John Doe		
Office of Energy Infrastructure Safety		
Sacramento, CA 95123		
Your Role in this case *		~
Your Role in this case *		
Your Role in this case *		
Your Role in this case *		

Roles are described in more detail in Section 1.1.

Once all the necessary information is populated, you will then proceed to the second step by clicking the "Continue to Upload Files" button.

4.1.2-Upload Files

In this section, you can upload a file(s) (up to 50 MB) using the specific files types allowed. Please note that: Password protected documents are not accepted and will be rejected. The maximum file size (per file):is 50 MB and the allowed file types are pdf, doc, docx, xls, xlsx, ppt, pptx, rtf, txt, dwg, dxf, kmz, kml, jpg, jpeg, tif, tiff, gif, mp4, avi, wmv, mov, mpeg.

Step 2: Uploa	ad Your Documents		
 Select Files: Se selected by click available in certa 	elect the files you wish to upload by c ing the button again or, in certain bro ain browsers. Note that file requireme	licking the 'Select File(s) t owsers, selecting multiple ents apply.	o Upload' button. Multiple files can be files. File drag and drop is also
2. Upload Files: O the 'Upload' butt Document Form For Mac users w clicking on Save computer.	on to transfer the files. Note that in so at (PDF) once they are received. with iWork software, you can create a e as PDF from the PDF drop down	PDF file for upload by (1) menu; and (3) Clicking Sa	ave to save the PDF file on your
3. Provide Detail: details before c	File Requirements	they will appear in the 'U	Jploaded Files' section. Edit the file
Note: Multiple docur should not be group	Maximum file size (per file) : 50 MB.	ction will be given the sa sions.	ame transaction number. If documents
File Requirements	Allowed file types: pdf, doc, docx, xls, xlsx, ppt, pptx, rtf, txt, dwg, dxf, kmz, kml, jpg, jpeg, tif, tiff, gif, mp4, avi, wmv, mov, mpeg.		
· · · · · · · · · · · · · · · · · · ·		files here	
	Diesse note: Dessword protected		

Select the files from your local computer or device by clicking on the "Select File(s) to Upload" button and then clicking on the "Upload File(s)" button. Once the files are uploaded, they will appear in the "Uploaded Files" area. Note that the system will provide warnings if a file is a duplicate (a file that has the same name and has been previously uploaded). Multiple files can be uploaded if needed.

After the files from the local computer or device have been selected, they will appear as shown below:

File Requirements	
Drop files here	
Select File	1 file(s) in queue.
DocumentForUpload.docx - 0 Kb (pending)	Remove
	Upload
You will need to upload files before continuing.	

Cancel and Return to Previous Page

If you have selected a wrong file or want to remove a file before uploading, then you can click on the "Remove" button next to the file name and the file will be removed from the "Files Awaiting Upload" list.

After you click the "Upload File(s)" button, the file will appear in the "Upload Files" area as shown below:

Uploaded Files			
DocumentForUpload.docx	Details Required	Edit details	Remove file
You will need to edit details of the uploaded files before continuing.			
Cancel and Return to Previous Page			

You will have to enter some details about the file (such as a title) after you click the "Upload File(s)" button. To add these details, click the "Edit Details" link next to the file name.



Cancel and Return to Previous Page

If the wrong file was uploaded you can use the "Remove file" link to delete it from this e-filing. If you decide that you want to go back then please use the "Cancel and Return to Previous Page" link.

The label "Details Required" indicates that the file details need to be added to the uploaded document before moving forward.

Uploaded Files		
DocumentForUpload.docx	Details Required	Edit details Remove file
You will need to edit details of the uploaded files before continuing.		
Cancel and Return to Previous Page		

Enter the file's descriptive information (metadata) such as Title, Document Description, Subject(s), Type, Number of Pages, and Notes, by clicking on the "Edit details" link beside the file name. Required fields are marked with a red asterisk.

Update File Details	
Fields denoted by an asteris	k (*) are required.
File Name	docushare-app-1407835-5_18_21 4_50_27 PM.pdf
Title *	
Document Description	
Subject(s) select one or more	Facility Design ×
Document Type	Project Description
Pages	
Addressed To	
Notes	
	Update File Details Cano

The File Name field is automatically populated. The Title is a required field. Subject(s) can be selected as seen above. Multiple subject selections are allowed.

After entering the appropriate details, click the "Update File Details" button and you will be redirected back to the "Step 2: Upload Your Documents" page. The file label that previously read "Details Required" will now be changed to "OK" if all the required information is populated. This process needs to be repeated for each uploaded document.

Uploaded Files	
docushare-app-1407835-5_18_21 4_50_27 PM.pdf	ок Edit details Remove file
Continue to Review & Submit	Cancel and Return to Previous Page

You can click on the "Remove file" link if you wish to remove a file from the uploaded files or if the file was uploaded by mistake.



After the labels of all of the uploaded files read "OK", you can move to the next page by clicking the "Continue to Review and Submit" button.



4.1.3-Review and Submit

On the "Step 3: Review your e-filing" page, you still have the ability to change the file metadata or remove the file before submitting to the e-filing system. You have one more chance to review your e-filing on this page. If everything is acceptable then click on the "I agree and Submit" button. If not then you can use the "Edit details" link, the "Remove file" link or the "Cancel and Return to Previous Page" link. You can also use the "Edit" button in the top section if the proceeding docket or submitter information is incorrect.

	2 Upload Files	3 Review & Submit
Step 3: Review Your e-filing		
elected Case: 2021-SCs 2021 Safety Cert	ifications Update case	
elected Submitter: Office of Energy Infras	tructure Safety	
Document No. 1		Edit details Remove file
Title: Document For Upload Document Description: Filename: DocumentForUpload.docx Number of pages: Subjects: Document Type: Notes:		
I affirm or certify that I am submitting com permission I have received with respect to comply with the guidelines from the Office	ments or documents that I am legally able o copyrights or trademarks, if applicable. I e of Energy Infrastructure Safety for appro	e to submit, either based upon my authority or based upon also affirm or certify that my comments or documents priate submissions.
Please note that unless an applicable req associated contact information (e.g. your may become available via Google, Yahoo	uest for confidentiality has been approved address, phone, email, etc.) become part , and any other search engine.	d, your written and oral comments, filings, attachments, and of the viewable public record. Additionally, this information

Upon successful submission of the files, the system will provide an on-screen message.



Once the Energy Safety staff approves the document, you will receive an email detailing it's approval. If the Energy Safety staff rejects any documents, you will be notified via email as well. The email will provide the reason for the rejection.

When Energy Safety staff approves a document, the Service List and/or Distribution List for that docket will also be notified that a new document has been added to the docket.

4.2-Confidential E-filing

The link to submit a confidential e-filing is available in the main navigation.

OFFICE OF ENERGY IMPASSTRUCTURE SAFETY	Dashboard	Submit e-filing Submit Confidential e-filing Login
List of Cases		
Enter a case name or county Search		
Name	Status	County
Executive Compensation	Open	Not Provided
Rulemaking	Open	Not Provided
Safety Certifications	Open	Not Provided

This action can also be performed from the Docket Detail page. Navigating to a specific docket has been covered in Section 2.

Confidential e-filing involves three steps that are similar to a regular e-filing. Step 1 is identical (see the discussion in Section 3.1 on how to select a proceeding <u>docket</u> and a role in the proceeding <u>docket</u>).

However, in Step 2, you must first select and upload a file containing the Application for a Confidential Designation (the document that provides details as to why the remainder of submitted documents are confidential). Whether or not confidential status is approved, the Application for Confidential Designation will be a publicly visible document. File Requirements are noted below. Only .pdf, .doc, .docx, .rtf, and .txt files are allowed with a 50 MB size limit.



After uploading the Application for Confidential Designation, you will be redirected to the page listing the uploaded Application file and now have the opportunity to upload the additional confidential files. Similar to the regular e-filing upload process (see section 3.1.2), file details (metadata) must be provided for each file, via the Edit details link beside each file.

INFRASTRUCTURE SAFETY	Dashboard Your e-filings Submit e-filing S	ubmit Confidential e-filing Your Clients Your Profile Lo
ubmit a Confidentia	l e-filing	
Select Case & Submitter	2 Upload Files	3 Review & Submit
Step 2: Upload Your Docume	ents	
 Select Files: Select the files you wish to button again or, in certain browsers, sele apply. 	upload by clicking the 'Select File(s) to Upload' butto cting multiple files. File drag and drop is also availabl	n. Multiple files can be selected by clicking the le in certain browsers. Note that file requirements
 Upload Files: Once your files have been the files. Note that in some cases, files m For Mac users with iWork software, you from the PDF drop down menu; and (3) (a selected, they will appear in the 'Files Awaiting Uplo hay be automatically converted to Portable Document can create a PDF file for upload by (1) selecting Print Clicking Save to save the PDF file on your computer.	ad' section. Click on the 'Upload' button to transfer t Format (PDF) once they are received. from the File menu; (2) clicking on Save as PDF
 Provide Details: After your files have be Note: Multiple documents uploaded within the will need to file in separate sessions. 	en uploaded, they will appear in the 'Uploaded Files' a same transaction will be given the same transaction	section. Edit the file details before continuing. number. If documents should not be grouped, you
File Requirements		
File Requirements	Drop files here	
File Requirements	Drop files here	Please select file(s) to upload.
File Requirements Select File Uploaded Files	Drop files here	Please select file(s) to upload.
File Requirements Select File Uploaded Files Application for Confidential Designation Be-Alert-for-Patients-With-Problems-Affordi	Drop files here ng-Insulin.pdf	Please select file(s) to upload. Details Required Edit details Remove file
File Requirements Select File Uploaded Files Application for Confidential Designation Be-Alert-for-Patients-With-Problems-Affordii You will need to edit details of the uploaded file	Drop files here ng-Insulin.pdf les before continuing.	Please select file(s) to upload. Details Required Edit details Remove file

When adding details for confidential files, there is additional metadata that is required that is not displayed for non-confidential files, specifically the "Confidentiality Sunset Date" field. This field is used to indicate the date when a document can be made non-confidential. If the document is intended to be confidential for an indefinite amount of time, please select the "Indefinite" option under the "Confidentiality Sunset Date" field. A screenshot is shown below.

Update File Details	ubasit a	filing	Cuba	ait Caa	fid and		ling \	lane l
Fields denoted by an asterisk (*) a	re requ	uired.						
File Name	segm	ent-31	1007	-pdf.p	df			
Title *								
Document Description								
Subject(s) select one or more	Choos	se subje	ect(s)					
Document Type							~	
Pages								
Confidentiality Sunset Date *								
	09/0	1/2020						
	Su	Мо	Tu	We	Th	Fr	Sa	
Addressed To			1	2	3	4	5	
	6	7	8	9	10	11	12	
Notes	13	14	15	16	17	18	19	
	20	21	22	23	24	25	26	
	27	28	29 U	30 pdate F	ile De	etails	Ca	ance

Once the required details for all the files are entered, the "Continue to Review and Submit" button will be enabled and will allow you to go to the final step of the process.

Note: Multiple documents uploaded within the same transaction will be given the same transaction number. If a will need to file in separate sessions.	documents should not be grouped, you
File Requirements	
Drop files here	
Select File	Please select file(s) to upload.
Uploaded Files	
Application for Confidential Designation Be-Alert-for-Patients-With-Problems-Affording-Insulin.pdf	OK Edit details Remove file
segment-341103-pdf.pdf	OK Edit details Remove file
Continue to Review & Submit	Cancel and Return to Previous Page

Similar to the regular e-filing process, you will then be directed to the final step to review your efiling (see section 3.1.3 for more details). Click on the "Agree and Submit" button to submit the confidential e-filing.

Step 3: Review Your e-filing

Selected Case: 2021-SCAs 2021 Safety Culture Assessments (SCAs) | Update case

Selected Submitter: Office of Energy Infrastructure Safety

Document No. 1 Application for Confidential Decignation	Edit dotaile Romovo filo
Document No. 1 - Application for Confidential Designation	Eult details Remove lile
Title: Confidential Document	
Document Description:	
Filename: Be-Alert-for-Patients-With-Problems-Affording-Insulin.pdf	
Number of pages:	
Subjects:	
Document Type:	
Notes:	
Document No. 2	Edit details Remove file
Title: Document For Upload	
Document Description:	

Inte: Document For Opload Document Description: Filename: DocumentForUpload.docx Number of pages: Subjects: Document Type: Notes:

I affirm or certify that I am submitting comments or documents that I am legally able to submit, either based upon my authority or based upon permission I have received with respect to copyrights or trademarks, if applicable. I also affirm or certify that my comments or documents comply with the guidelines from the Office of Energy Infrastructure Safety for appropriate submissions.

Please note that unless an applicable request for confidentiality has been approved, your written and oral comments, filings, attachments, and associated contact information (e.g. your address, phone, email, etc.) become part of the viewable public record. Additionally, this information may become available via Google, Yahoo, and any other search engine.

I Agree & Submit

Cancel and Return to Previous Page

After successful submission of the documents, you will be notified via email of your submission.

After the Energy Safety office staff approves the document(s), the document(s) will be forwarded to the Confidential Reviewer for final review before they are entered into the respective docket. You will also be notified by email when that approval or rejection happens.

As with non-confidential e-filings, when Energy Safety staff approves a confidential document, the Service List and/or Distribution List for that docket will also be notified that a new document has been added to the docket. However, these documents will not be publicly accessible.

4.3-Lookup And Search Your E-filings

The system provides a Standard and Advanced user interface that allows you to look up a document. The lookup returns up to 25 records at a time. The search results are sortable.

In order to review your e-filings you must first login with your username and password. Then you will select "Filings" on the top menu you are able to see your uploaded documents to date.



You can click on the text box to the left of the search button to search the documents and enter the Transaction Number, Docket Number, Project Title, or Document Title. Filings that match the criteria will be listed below the search criteria. From here you can search on the e-filings you have made. See the screenshot below.



Your e-filings

Enter a F	REF #, docket #	#, project, or doc	ument title	Search		
dvanced	I Search				Exp	port To Exce
REF #	Date	Docket #	Docket Title	Document Title	Visibility	Status
10188- 1	6/29/2021	2021-WMPs	2021 Wildfire Mitigation Plans	Testing an Efiling Document 1 Electrical Infrastructure 1 page(s)	Public	Dockete
10188- 2	6/29/2021	2021-WMPs	2021 Wildfire Mitigation Plans	Testing this Document Number 2 Electrical Infrastructure 1 page(s)	Public	Docketed
10189	6/29/2021	2021-SCs	2021 Safety Certifications	App for Confidentiality Electrical Infrastructure	Public	Docketed

You also click the advanced filters to search in a particular docket, by date, by visibility, or by other options shown in the screenshots below.

er a REF #, docket #, project, or doc	ument title Search		
Advanced Search			
REF #:	Start Date:	End Date:	
Docket #:	Case Title:	Doc Title:	
Visibility:	Status:	~	